Reporting on the pan-European Qualitative Indicators for Sustainable Forest Management

Ministerial Conference on the Protection of Forests in Europe

A special request is related to any foreseen changes related to policies, institutions and instruments until December 2014. Please select the button to provide such information.

Yes ☐ No ☐

COUNTRY: Switzerland

DATE OF SUBMISSION: 01/07/2014

National correspondent:

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Other professionals involved in the reporting process: 1

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Email: daniel.landolt@bafu.admin.ch

Deadline for submission:

PART I: Policies for Sustainable Forest Management (SFM)

A1. National forest programmes (NFP) or similar and related forest policies

NFP or similar process for forest related dialogue, policy development & implementation

Existence of NFP process or of similar process

☑ Formal NFP process
☐ Process explicitly guided by the FOREST EUROPE NFP principles
☐ Similar process
☐ Other. Please specify:

Name of NFP or similar process

Name: Forest Policy 2020
Starting year: 2002

Main formal decision making body of the process

☐ Body with members other than Ministry responsible for forestry
☐ Ministry responsible for forestry
☐ Similar process
☑ Other. Please specify: Federal Office for the Environment FOEN
Significant changes related to the NFP process or similar since 2011

Yes ☐ No ☐

If yes, please describe the reason/s for and type of changes [max. 100 words]

Key lessons learned on the NFP process or similar

In 2009 the FOEN started a review-process of the national forest program from 2004. It was helpful to separate this process in a first part of controlling/evaluation/analysis and make this accessible for the stakeholders/public. And in the second part to develop the interpretation/findings together with the stakeholders in a participatory process.

Comments on the NFP or similar process

Please explain [max. 100 words] and provide reference documents when relevant:

Main Forest policy document (national forest programme document, forest policy statement, strategy or other)

Existence of forest policy document (other than law)

Yes ☐ No ☐

Title of main forest policy document and internet links

Name: Forest Policy 2020

Forest policy document development process

☑ formal NFP process or process explicitly guided by NFP principles
☐ process similar to NFP or other process

☑ Parliament
☐ Council of Ministers or similar
☐ Minister responsible for forestry
☐ Forestry Department or similar
☐ Other. Please specify:

Date of endorsement / authorization / enactment

2011

Evaluation of policy implementation

☑ Periodically, pre-specified in the NFP document
☐ Periodically, but not pre-specified
☐ Not specified, not planned
☐ Other

FOREST EUROPE definition of SFM

Yes ☐ No ☐

FOREST EUROPE criteria and indicators for SFM

Yes ☐ No ☐

FOREST EUROPE guidelines for NFPs

Yes ☐ No ☐

FOREST EUROPE Classification of Protected and Protective Forests and Other Wooded Land

Yes ☐ No ☐

FOREST EUROPE Pan-European Operational Level Guidelines for Sustainable Forest Management

Yes ☐ No ☐

FOREST EUROPE Pan-European Guidelines for Afforestation and Reforestation

Yes ☐ No ☐

If the responsibility for forest policy is decentralized:

Existence of formally endorsed subnational forest policy document(s)

Yes ☐ No ☐

If yes, please describe the reason/s for and type of changes [max. 100 words]:
Significant changes related to main forest policy document since 2011

The implementation of some of the measures through which the Confederation shall pursue the eleven objectives of Forest Policy 2020 have legal or financial impacts. In consequence the Swiss Government prepared a adaptation of the national forest law in 2013. In a formal consultation process all stakeholders were invited to formulate their interests. In June 2014 the Government transferred the adaptation of the national forest law to the parliament. The main topics are: Prevention and control of biotic hazards outside the protective forest, Adaptation to climate change, wood promotion and adaptations to forestry practice.

Comments on the main forest policy document

Please explain [max. 100 words] and provide reference documents where relevant:

Policy objectives by policy area

Policy areas

Are there any specifically stated policy objectives in relation to each policy area:

Yes ☐ No ☐

If yes, please specify and provide quantified targets, if any:

See Forest Policy 2020 p. 30

Are there any significant changes in main policy objectives since 2011

Yes ☐ No ☐

If yes, please describe and include reason/s for the changes

B1. Land use and forest area

Are there any specifically stated policy objectives in relation to each policy area:

Yes ☐ No ☐

If yes, please specify and provide quantified targets, if any:

See Forest Policy 2020 p. 30

Are there any significant changes in main policy objectives since 2011

Yes ☐ No ☐

If yes, please describe and include reason/s for the changes in process

B2. Carbon balance

Are there any specifically stated policy objectives in relation to each policy area:

Yes ☐ No ☐

If yes, please specify and provide quantified targets, if any:

See Forest Policy 2020 p. 18

Are there any significant changes in main policy objectives since 2011

Yes ☐ No ☐

If yes, please describe and include reason/s for the changes in process

B3. Health and vitality

Are there any specifically stated policy objectives in relation to each policy area:

Yes ☐ No ☐

If yes, please specify and provide quantified targets, if any:

See Forest Policy 2020 p. 37 and p. 40

Are there any significant changes in main policy objectives since 2011

Yes ☐ No ☐

If yes, please describe and include reason/s for the changes in process
B4. Production and use of wood

- **Yes** ☐  **No** ☐

  If yes, please specify and provide quantified targets, if any:

  See Forest Policy 2020 p. 18

  Are there any significant changes in main policy objectives since 2011

  - **Yes** ☐  **No** ☐

    If yes, please describe and include reason/s for the changes in process

B5. Production and use of non-wood goods and services

- **Yes** ☐  **No** ☐

  If yes, please specify and provide quantified targets, if any:

  See Forest Policy 2020 p. 45

  Are there any significant changes in main policy objectives since 2011

  - **Yes** ☐  **No** ☐

    If yes, please describe and include reason/s for the changes

B6. Biodiversity

- **Yes** ☐  **No** ☐

  If yes, please specify and provide quantified targets, if any:

  See Forest Policy 2020 p. 27

  Are there any significant changes in main policy objectives since 2011

  - **Yes** ☐  **No** ☐

    If yes, please describe and include reason/s for the changes in process

B7. Protective forests

- **Yes** ☐  **No** ☐

  If yes, please specify and provide quantified targets, if any:

  See Forest Policy 2020 p. 25

  Are there any significant changes in main policy objectives since 2011

  - **Yes** ☐  **No** ☐

    If yes, please describe and include reason/s for the changes

B8. Economic viability

- **Yes** ☐  **No** ☐

  If yes, please specify and provide quantified targets, if any:

  See Forest Policy 2020 p. 34

  Are there any significant changes in main policy objectives since 2011

  - **Yes** ☐  **No** ☐

    If yes, please describe and include reason/s for the changes
B9. Employment (incl. safety and health)

- **Yes** ☐  **No** ☐

  If yes, please specify and provide quantified targets, if any:

  See Forest Policy 2020 p. 48

  Are there any significant changes in main policy objectives since 2011

- **Yes** ☐  **No** ☐

  If yes, please describe and include reason(s) for the changes

---

B10. Public awareness and participation

- **Yes** ☐  **No** ☐

  Are there any specifically stated policy objectives in relation to each policy area:

  See Forest Policy 2020: 48

  Are there any significant changes in main policy objectives since 2011

- **Yes** ☐  **No** ☐

  If yes, please describe and include reason(s) for the changes

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B11. Research, training and education

- **Yes** ☐  **No** ☐

  Are there any specifically stated policy objectives in relation to each policy area:

  See Forest Policy 2020: 48

  Are there any significant changes in main policy objectives since 2011

- **Yes** ☐  **No** ☐

  If yes, please describe and include reason(s) for the changes

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B12. Cultural and spiritual values

- **Yes** ☐  **No** ☐

  Are there any specifically stated policy objectives in relation to each policy area:

  See Forest Policy 2020: 48

  Are there any significant changes in main policy objectives since 2011

- **Yes** ☐  **No** ☐

  If yes, please describe and include reason(s) for the changes

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**Comments**

Please explain and provide reference documents where relevant:

Quantified targets for each policy objective can be found in "Forest Policy 2020" p. 62 following pages

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**Key measures and lessons learnt per policy area**

**Policy areas**

- **If yes, please specify** [max. 100 words]

  Please explain [max. 100 words] and provide reference documents where relevant.

**Key measures taken on implementing the policy since 2011**

**Key lessons learnt**
B1. Land use and forest area

Yes  No

If yes, please specify

Key lessons learnt. Please explain and provide reference documents where relevant.

B2. Carbon balance

Yes  No

Key measures taken on implementing the policy since 2011

Key lessons learnt. Please explain and provide reference documents where relevant.

B3. Health and vitality

Yes  No

Key measures taken on implementing the policy since 2011

Key lessons learnt. Please explain and provide reference documents where relevant.

B4. Production and use of wood

Yes  No

Key measures taken on implementing the policy since 2011

Key lessons learnt. Please explain and provide reference documents where relevant.

B5. Production and use of non-wood goods and services

Yes  No

Key measures taken on implementing the policy since 2011

Key lessons learnt. Please explain and provide reference documents where relevant.

B6. Biodiversity

Yes  No

Key measures taken on implementing the policy since 2011

Key lessons learnt. Please explain and provide reference documents where relevant.

B7. Protective forests

Yes  No

Key measures taken on implementing the policy since 2011

Key lessons learnt. Please explain and provide reference documents where relevant.
### B8. Economic viability

**Yes**  ✔  **No**

Key lessons learnt. Please explain and provide reference documents where relevant.

### B9. Employment (incl. safety and health)

Key measures taken on implementing the policy since 2011

**Yes**  ✔  **No**

Key lessons learnt. Please explain and provide reference documents where relevant.

### B10. Public awareness and participation

Key measures taken on implementing the policy since 2011

**Yes**  ✔  **No**

Key lessons learnt. Please explain and provide reference documents where relevant.

### B11. Research, training and education

Key measures taken on implementing the policy since 2011

**Yes**  ✔  **No**

Key lessons learnt. Please explain and provide reference documents where relevant.

### B12. Cultural and spiritual values

Key measures taken on implementing the policy since 2011

**Yes**  ✔  **No**

Key lessons learnt. Please explain and provide reference documents where relevant.

### Comments

Please explain [max. 100 words] and provide reference documents where relevant:

FOEN elaborated a plan of measures based on the forest policy 2020, adopted by the government (see À€œForest Policy 2020À€). The implementation started 2012. FOEN conducts an intern controlling system over the about 100 measures.

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**PART II: Overall institutional frameworks and by policy area**

**A2. Institutional frameworks**

<table>
<thead>
<tr>
<th>Please specify the type of forest-related institutional arrangements</th>
<th>Part of Ministry</th>
<th>Sub-national ministries or bodies</th>
<th>Other body. Please specify</th>
</tr>
</thead>
<tbody>
<tr>
<td>- forest policy administration</td>
<td>✔</td>
<td>✔</td>
<td></td>
</tr>
<tr>
<td>- legislative supervision &amp; enforcement</td>
<td>✔</td>
<td>✔</td>
<td></td>
</tr>
</tbody>
</table>
Government bodies

- adminstering forest policy development and implementation
  - Central government
  - Subnational governments
  - Other. (please specify in comments below)

- legislative supervision & enforcement
  - Central government
  - Subnational governments
  - Other. (please specify in comments below)

- support to private forest management
  - Central government
  - Subnational governments
  - Other. (please specify in comments below)

- management of public forests
  - Central government
  - Subnational governments
  - Other. (please specify in comments below)

Please specify the level of main responsibility

Switzerland's forest policy is a joint task of the Confederation and cantons. In consequence the two levels are involved both at the institutional arangement and the level of responsibility. Management of public forests is done either by subnational governments=cantons or by municipalities or by other publicly or privately owned management structures. Support to sfm is provided by cantonal forest administration. The cantonal administration has role of supervision and law enforcement. The Federal administration has role of overall supervision.

Resources and capacity of public organizations related to forests

Name or group/type 1: Forest-related staff 2 (2013, Full time equivalent)

Total [estimate] 360

... of which forest administration 3 360

... of which management of public forests n.a.

... of which public forest research, education and training institutions n.a.

... of which others n.a.

Significant changes in the institutional framework, public and private, since 2011

Yes ☐  No ☐

If yes, please describe and incl. reason/s for the changes [max. 100 words]

Comments

Please explain [max. 100 words] and provide reference documents where relevant.

Institutional frameworks per policy area

Significant changes in institutional frameworks since 2011

B1. Land use and forest area

Yes ☐  No ☐

If yes, please describe and incl. reason/s for the changes

B2. Carbon balance

Yes ☐  No ☐
If yes, please describe and incl. reason/s for the changes

<table>
<thead>
<tr>
<th>B3. Health and vitality</th>
<th>Yes ☐ No ☒</th>
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<td>If yes, please describe and incl. reason/s for the changes</td>
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<th>B4. Production and use of wood</th>
<th>Yes ☐ No ☒</th>
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<th>B5. Production and use of non-wood goods and services</th>
<th>Yes ☐ No ☒</th>
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<th>B6. Biodiversity</th>
<th>Yes ☐ No ☒</th>
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<th>B7. Protective forests</th>
<th>Yes ☐ No ☒</th>
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<tr>
<th>B8. Economic viability</th>
<th>Yes ☐ No ☒</th>
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<th>Yes ☐ No ☒</th>
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<th>Yes ☐ No ☒</th>
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<th>Yes ☐ No ☒</th>
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<th>B12. Cultural and spiritual values</th>
<th>Yes ☐ No ☒</th>
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<td>If yes, please describe and incl. reason/s for the changes</td>
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</table>

Comments

Please explain [max. 100 words] and provide reference documents where relevant:

No significant changes in the institutional framework
### A3. Legal/regulatory frameworks and international commitments

#### Legal/regulatory frameworks

**General characteristics of legal/regulatory framework:**
- in constitution
- in legislation enacted by parliament
- in administrative decrees/ regulations only
- Other: subnational legislation (at cantonal level)

- at central government level
- at federal level
- other

<table>
<thead>
<tr>
<th>Name and reference to legal document</th>
<th>Other: subnational legislation (at cantonal level)</th>
</tr>
</thead>
</table>

**Main forest and SFM related legal/regulatory act with national scope (e.g. Forest law, act or code):**

- Main changes from previous legal act

<table>
<thead>
<tr>
<th>Date of enactment</th>
<th>Other: subnational legislation (at cantonal level)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parliament decision of 4 October 1991, in force since 1 January 1993</td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Date of latest amendment</th>
<th>Other: subnational legislation (at cantonal level)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 July 2013</td>
<td></td>
</tr>
</tbody>
</table>

**Are the following FOREST EUROPE instruments explicitly referred to in the [national?] legal/regulatory framework?**

- FOREST EUROPE Definition of SFM
- FOREST EUROPE C&I for SFM
- Other

<table>
<thead>
<tr>
<th>Yes/fully</th>
<th>No</th>
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<tbody>
<tr>
<td></td>
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</table>

**Significant changes in the legal/regulatory framework since 2011**

- Yes
- No

If yes, please describe and incl. reason/s for the changes

The federal Law on Forest has been revised by 1 July 2013: In certain cases (e.g. to protect agricultural priority areas and for the rehabilitation of watercourses), it is now possible to dispense with compensation in kind or compensation for deforestation. Furthermore static forest boundaries can be designated in relation to the open land on the basis of overall planning (in particular structural planning).

**Comments**

Please explain and provide reference documents where relevant.


#### International commitments

**Significant initiatives undertaken since 2011 in implementation of international commitments related to forests signed by your country**

- Yes
- No

If yes, please specify [max. 100 words]

**Comments**

Please explain [max. 100 words] and provide reference documents where relevant:
Legal/regulatory frameworks per policy area

Significant changes in institutional frameworks since 2011

<table>
<thead>
<tr>
<th>B1. Land use and forest area</th>
<th>Yes ☐ No ☐</th>
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<th>Yes ☐ No ☐</th>
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<th>Yes ☐ No ☐</th>
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<th>B6. Biodiversity</th>
<th>Yes ☐ No ☐</th>
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<th>Yes ☐ No ☐</th>
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<th>Yes ☐ No ☐</th>
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</table>
B11. Research, training and education
Yes ☐ No ☑
If yes, please describe and incl. reason/s for the changes

B12. Cultural and spiritual values
Yes ☐ No ☑
If yes, please describe and incl. reason/s for the changes

Comments
Please explain and provide reference documents where relevant:

PART IV: Overall financial instruments/economic policy and by policy area

A4. Financial instruments and economic policy

Economic policy

Please specify objectives of main measures taken to address economic aspects of publicly owned forests.

The objective of Forest Policy 2020 is: The efficiency and performance of the Swiss forestry sector and, therefore, the structure of forestry operations and cooperation beyond ownership structures shall improve. The additional expenses incurred by managers for the provision of the desired forest services, or corresponding losses in income, shall be compensated. For the strategic directions and the measures see Forest Policy 2020 p. 34 and following pages.

Please specify objectives of main measures taken to address economic aspects of privately owned forests or other.

Public and private owned forests are not addressed differently.

Significant changes in economic policy related to forests (public and private) since 2011
Yes ☐ No ☑
If yes, please describe and incl. reason/s for the changes [max. 100 words]

The content of Forest Policy 2020 concerning the economic policy related to forests is not significantly different from the former "Swiss National Forest Program", but a continuation.

Comments
Please explain [max. 100 words] and provide reference documents where relevant.

Financial instruments

State/federal forest management, act or code

Please specify financial arrangements related to state-owned forests and their management [max. 100 words]

☐ Profit/financially self-sufficient forest management
☑ Additional financial support from government to forest management
☐ Other. Please specify

Please specify the use of financial instruments for private forests and their management, incl. main objectives [max. 100 words]. For the EU Member States, please specify apart from the EU Rural Development Programme Funds.

☑ Grants/subsidies. Please elaborate:
Government financial instruments: private forest management

Programme agreements with the cantons for the maintenance of the protective forests

- Tax measures. Please elaborate:

- Incentives. Please elaborate:

Programme agreements for the improvement of forest biodiversity. Programme agreements for the improvement of economic efficiency and the performance of the forestry sector (e.g. improving structures and mergers of forest enterprises)

- Public funds. Please elaborate:

- Investment support. Please elaborate:

- Loans. Please elaborate:

The confederation provides eturnable capital investment loans to the cantons to improve the structures of forest enterprises, their techniques and proceedings.

- Other. Please specify:

Significant changes in financial instruments related to forests since 2011

Yes ☐ No ☑

If yes, please describe and incl. reason/s for the changes

<table>
<thead>
<tr>
<th>Year: 2012</th>
<th>Domestic funding (mil€)</th>
<th>External funding (mil€)</th>
<th>Total (mil€)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operational expenditure</td>
<td>9.4</td>
<td>0</td>
<td>9.4</td>
</tr>
<tr>
<td>Transfer payments (support to private forest management)</td>
<td>69.6</td>
<td>0</td>
<td>69.6</td>
</tr>
<tr>
<td>Total public expenditure</td>
<td>79</td>
<td>0</td>
<td>79</td>
</tr>
</tbody>
</table>

For forest administration n/a

For management of public forests n/a

For public forest research, education and training institutions n/a

For other institutions. Please specify:

If transfer payments are made for forest management and conservation, indicate for what specific objective(s) - Please tick all that apply.

- Reforestation
- Afforestation
- Forest inventory and/or planning
- Conservation of forest biodiversity
- Protection of soil and water
- Forest stand improvement
- Establishment or maintenance of protected areas
- Other

Please specify the allocation of total public expenditures among the six criteria

<table>
<thead>
<tr>
<th>Estimate of resources %</th>
</tr>
</thead>
<tbody>
<tr>
<td>C1. Forest resources and carbon</td>
</tr>
<tr>
<td>C2. Health and vitality</td>
</tr>
<tr>
<td>C. Productive functions</td>
</tr>
<tr>
<td>------------------------</td>
</tr>
<tr>
<td>C4. Biodiversity</td>
</tr>
<tr>
<td>C5. Protective functions</td>
</tr>
<tr>
<td>C6. Socio-economic functions</td>
</tr>
</tbody>
</table>

*Note: the total must be 100%*

Please explain [max. 100 words] and provide reference documents where relevant:

Currency translation: 1 Euro = 1.2 CHF (which is significantly different from 2010 with 1 Euro = 1.5 CHF)! Total public expenditure without technical measures against natural hazards and natural hazard planning. Transfer payments include: maintenance of the protective forests, improvement of economic efficiency and the performance of the forestry sector, improvement of forest biodiversity.

**Comments and lessons learnt**

**Financial instruments per policy area**

**Significant changes in financial instruments since 2011**

<table>
<thead>
<tr>
<th>B1. Land use and forest area</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.

<table>
<thead>
<tr>
<th>B2. Carbon balance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.

<table>
<thead>
<tr>
<th>B3. Health and vitality</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.

<table>
<thead>
<tr>
<th>B4. Production and use of wood</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.

<table>
<thead>
<tr>
<th>B5. Production and use of non-wood goods and services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.

<table>
<thead>
<tr>
<th>B6. Biodiversity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.

<table>
<thead>
<tr>
<th>B7. Protective forests</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.

<table>
<thead>
<tr>
<th>B8. Economic viability</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes ☐ No ☑</td>
</tr>
</tbody>
</table>

If yes, please describe and incl. reason/s for the changes.
### B9. Employment (incl. safety and health)

Yes ☐ No ☐

If yes, please describe and incl. reason/s for the changes

---

### B10. Public awareness and participation

Yes ☐ No ☐

If yes, please describe and incl. reason/s for the changes

---

### B11. Research, training and education

Yes ☐ No ☐

If yes, please describe and incl. reason/s for the changes

---

### B12. Cultural and spiritual values

Yes ☐ No ☐

If yes, please describe and incl. reason/s for the changes

---

### Comments

Please explain [max. 100 words] and provide reference documents where relevant:

---

### PART V: Overall informational means and by policy area

#### A5. Informational means

Is there public access to forest inventory data?

Yes ☐ No ☐

If yes, please specify:


---

Main characteristics of informational means

Does a written (governmental) forest-related outreach and communication strategy exist?

Yes ☐ No ☐

If yes, please provide reference: [max. 100 words]

---

Does a national report on the status of sustainable forest management exist?

Yes ☐ No ☐

If yes, please provide reference/link: [max. 100 words]

### Significant changes in informational means / forest related communication since 2011

<table>
<thead>
<tr>
<th>Informational means</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>If yes, please describe and incl. reason/s for the changes [max. 100 words]</td>
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<table>
<thead>
<tr>
<th>Forest related communication</th>
<th>Yes</th>
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### Comments

Please explain [max. 100 words] and provide reference documents where relevant.

### Financial instruments per policy area

#### Significant changes in informational instruments since 2011

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#### B4. Production and use of wood

A national information champagne has been supported by the government to use swiss wood ([http://www.bafu.admin.ch/aktionsplan-holz/10302/10305/13067/index.html?lang=de](http://www.bafu.admin.ch/aktionsplan-holz/10302/10305/13067/index.html?lang=de)). Furthermore wood stakeholders started the label Swiss Wood to promote the use of swiss wood ([http://www.schweizerholz.ch/](http://www.schweizerholz.ch/))

#### B6. Biodiversity

Forest biodiversity included as part of the national biodiversity strategy 2012 and its action plan under development till 2015
<table>
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